

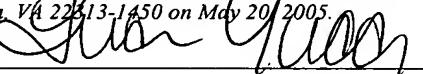
10/535732 #3

JC20 Rec'd PCT/PTO 20 MAY 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No. : U.S. Filing of International Application PCT/EP2003/012451
Applicants : Schilling, et al.
Confirm. No. : Unassigned
Filed : Herewith
Title : LAYER ARRANGEMENT PROVIDED WITH A STRUCTURE PRODUCING A DIFFRACTIVE OPTICAL EFFECT AND A LENS-TYPE EFFECT
TC/A.U. : N/A
Examiner : N/A
Docket No. : 1093-128 PCT/US
Dated : May 20, 2005
Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 584403685 US postpaid in an envelope, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 20, 2005.

Signature: 

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>PATENT DATE</u>
Becker, et al.	4,765,656*	August 23, 1988
Bradshaw, et al.	4,714,656*	December 22, 1987
Staub, et al.	6,324,004	November 27, 2001

UNITED STATES PATENT PUBLICATIONS

<u>PATENTEE</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
Taylor, et al.	2002/0008380 A1	January 24, 2002

FOREIGN PATENT DOCUMENTS

<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
WIPO	WO 97 19820*	June 5, 1997
Europe	EP 1 152 369 A1*	November 7, 2001
Europe	EP 1 182 054 A2*	February 27, 2002
Germany	DE 199 49 945 A1	August 30, 2001
WIPO	WO 99 15919	April 1, 1999
Germany	DE 100 54 503 A1	June 6, 2002
Europe	EP 1 076 315 A1	February 14, 2001
WIPO	WO 94 27254	November 24, 1994

A separate listing of each of the references set forth above has also been provided on the attached Form PTO-1449. References cited in the International Search Report (ISR), which accompanies this submission, are indicated by an asterisk. A copy of all references is enclosed herewith. A corresponding U.S. patent or publication, or an English abstract of any non-English foreign patent document has also been provided to facilitate reference thereto.

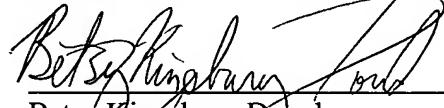
Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge our Deposit Account No. 08-2461.

10/535732

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In view of the present submission, it is believed that the above-referenced application

is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



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BKD:tt

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1093-128 PCT/US	104535732 SERIAL NO. Unassigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Schilling, et al.	CONFIRMATION NO. Unassigned
(Use several sheets if necessary)		FILING DATE Herewith	GROUP Unassigned

U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		2002/000838	1/24/02	Taylor, et al.			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		4,765,656*	8/23/88	Becker, et al.			
		4,714,656*	12/22/87	Bradshaw, et al.			
		6,324,004	11/27/01	Staub, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		WO 97 19820 *	6/ 5/97	WIPO				
		EP 1 152 369 A1*	11/7/01	Europe				
		EP 1 182 054 A2*	2/27/02	Europe				
		DE 199 49 945 A1	8/30/01	Germany				
		WO 99 15919	4/1/99	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		DE 100 54 503 A1	6/6/02	Germany				
		EP 1 076 315 A1	2/14/01	Europe				
		WO 94 27254	11/24/94	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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